				F 5005	· · · ·					
FORM PTO-1		•	fied)	(3)	PLICATION NO.:	09/935,776		DOCKET NO.: 8.70154/TJO/DPM		
			SCLOSURE APPLICANT	ADEM FI	LING DATE:	August 22, 2001	. <u> </u>			
				Al	PPLICANT:	Charles M. Lieb	er et al.			
Sheet 1	`	of	11	GI	ROUP ART UNIT:	2811	EXAM	INER: Not yet ass	igned	
Sheet 1		01	1.1							
		<u> </u>	.S. Patent Docum		PATENT DOCUME		-£C:4-1	Date of Publicati	on or of issue	
Examiner's Initials#	Cite No.		Number	Kind		ee or Applicant o Pocument	or Cited	of Cited Do MM-DD-	ocument	
				Code						
				FOREI	GN PATENT DOCUN	MENTS				
Examiner's	Cite	Fore	eign Patent Docun			ee or Applicant o	of Cited	Date of Publication of	Translation	
Initials#	No.	Office/ Country	Number	Kind Code	_	t necessary)		Cited Document MM-DD-YYYY	(Y/N)	
SH,		PCT	WO 01/03208	Al	President and Fell	ows of Harvard	College	11/01/2001		
GJQ.		PCT	WO 02/17362	A2	President and Fell	ows of Harvard	College	28/02/2002		
			-	<u> </u>			:	EC		
			OTHER A	DT NON	I DATENTE I TERBAT	TUDE DOCUME	ZNITC	NO .		
Examiner's	Cite	Include			<u>NPATENT LITERAT</u> TAL LETTERS) title			oriate), title of the	Tanslation	
Initials#	No	` 	num	ber(s), pub	al, symposium, catalog disher, city and/or cou	untry where pub	lished.	c on	C (Y/N)	
SR			al. "Doping and E 2, June 8, 2000, pp.		ansport in Silicon Nanc ;	owires", The Jour	nal of Physi	cal Chemistry, Vol.	VE	
SE			Mark S., et al. "Di June 6, 2000, pp. 8		ective Synthesis of Sen	niconductor Nano	wires", J. A	m. Chem Soc.		
A			ngfeng, et al., "Gen pp. 298-302, publis		sis of Compound Semi b 02/17/2000;	conductor Nanow	vires", Adv.	Materials: 2000,		
Sa		Duan, Xiai	ngfeng, et al., "Lase 122, October 18, 1	er-Assisted	Catalytic Growth of Si 8-189; published on W	ngle Crystal GaN eb 12/18/99	Nanowires'	', J. Am. Chem.		
SPC		Huang, Yu SCIENCE,	, et al., "Directed A Vol. 291, January	ssembly of 26, 2001, p	One-dimensional Nan p. 630-633;	ostructures into F				
R		Duan, Xiangfeng, et al., "Indium phosphide nanowires as building blocks for nanoscale electronic and optoelectronic devices", <i>Nature</i> , Vol. 409, January 4, 2001, pp. 66-69;								
SC.		Collier, C. 1999, pp. 3		cally Confi	gurable Molecular-Bas	sed Logic Gates",	SCIENCE,		P	
					***			<u>8</u> ₹ 1		
EXAMINER	Sh	ecesse	ug f l	2	DATE C	ONSIDERED	8-6	<u> </u>	VE.	
					onformance with MPEP 6	609; Draw line throu	igh citation if	- R	not considered.	
• •			unication to applican is it was previously ci		mitted to the office in a p	rior application, Ser	rial No	8 , filed	, and	
					inuation-in-part, and divi					

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

Serial No.: 09/935,776

01	PE	
40:	301	
APR	2002	
<u> </u>	- ESY	

Art Unit: 2811

			1/31				
FORM P	PTO-1449/A and B (M	ORMATION DISCLOSURE ATEMENT BY APPLICANT	(Modified) APPLICATION NO.		APPLICATION NO.: 09/935,776	ATTY. DOCKET NO.: H00498/70154	
			LOSURE	FILING DATE: 08/22/2001			
•	STATEMENTB	Y API	LICANT	APPLICANT: Charles M. Lieber, et al.			
				GROUP ART UNIT: Not yet assigned	EXAMINER: Not yet assigned		
Sheet	1	of	2				

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
	No.	Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
SH		4,673,474		Ogawa	06.16.1987	
SK		4,939,556		Eguchi et al.	07.03.1990	
SP		5,089,545		Pol	02.18.1992	
50		5,453,970		Rust et al.	09.26.1995	
500		5,475,341		Reed	12.12.1995	
A.		5,589,692		Reed	12.31.1996	
SPE		5,640,343		Gallagher et al.	06.17.1997	
AQ		5,739,057		Tiwari et al.	04.14.1998	
SPR		5,747,180		Miller et al.	05.05.1998	
50R		5,751,156		Muller et al.	05.12.1998	
SØ_		5,847,565		Narayanan	12.08.1998	

FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite	For	Foreign Patent Docume	nent	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
	No.	Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)
Sp		wo	01/03208	AI	President and Fellow of Harvard College (Original and Correction Version)	01/11/2001	
AL		wo	00/09443	AI	Board of Trustees of the Leland Stanford Junior University	02.24.2000	
90 90		wo	00/17101	A1	William Marsh Rice University	03.30.2000	
SPE		wo	00/19494	A1	Xidex Corporation	04.06.2000	-
R		wo	98/39250	A1	William Marsh Rice University	09.11.1998	
Sefe.	-	wo	98/42620	Al	Japanese Fine Ceramics Center	10.01.1998	N
Ste		JР	11-11917	A2	Canon Inc.	01.19.1999	Y

OTHER ART – NON PATENT LITERATURE DOCUMENTS

Examiner's	Cite	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item	Translat	ion		
Initials#	No	(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s),	(Y/N	1)		
		publisher, city and/or country where published.				
2		J. Chen et al, "Large On-Off Ratios and Negative Differential Resistance in a Molecular Electronic Device,"				
1	_	Science, Vol. 286, November 19, 1999, pgs. 1550-51.				

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _______, filed _______, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

EXAMINER	DATE CONSIDERED
Shousing He	8-6-03

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

			APR 2	5005 H					
FORM PTÒ-	1449/A ar	nd B (Modified)	OSURE IR	OF MAPS A	PPLICATION NO.: 09/935,776	ATTY.	DOCKET NO.: H00	498/7015	54
		TION DISCL	Cocie	FI	LING DATE: August 22, 2001				
Si	AIEMI	ENT BY APPI	ICANT	A	PPLICANT: Charles M. Lieber, et al.				
Sheet	2	of	2	G	ROUP ART UNIT: Not yet assigned	EXAMI	INER: Not yet assigned		
		1 1				<u>i</u>			
	1	1			PATENT DOCUMENTS				
Examiner's Initials#	Cite No.	V.S. 1	Patent Docum ber	Kind Code	Name of Patentee or Applicant of C Document	Cited	Date of Publication or of issue of Cited Document MM-DD-YYYY		sue
ape		5,858,862		0000	Westwater et al.		01.12.1999		
50		5,897,945	-	1	Lieber et al.		04.27.1999	-	
50		5,903,010			Flory et al.		05.11.1999		
Sec.	<u> </u>	5,997,832			Lieber et al.		12.07.1999		
9b		6,036,774			Lieber et al.		03.14.2000		
SRO		6,038,060			Crowley	03.14.2000			
A)		6,060,724			Flory et al.	05.09.2000			
50	1	6,069,380			Chou et al.		05.30.2000		
	•			FOREI	GN PATENT DOCUMENTS				
Examiner's Cite No. Office/Country Number		-	Name of Patentee or Applicant of Cited Document (not necessary)		Date of Publication of Cited Document MM-DD-YYYY	Transl (Y/			
		•							
		\leftarrow							
				<u> </u>				 	
-/									
		<u> </u>							
		•	OTHER	DM NON		TO C			
Examiner's Initials#								Transla (Y/	
			·	F					
				·	The state of the s				
a copy of this re	ference is a	not provided as it w	as previously c	ited by or sub	mitted to the office in a prior application, Seria	l No.	, filed	٠,	, and

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

EXAMINER